

Date: April 2024

**Next Review date: April 2025**

**Reviewed by: Jocelyn Owens**

**The Family Forge**

*Whistleblowing Policy and Procedure*

## INTRODUCTION

Volunteers may be the first to realise that there may be something wrong within The Family Forge. However, they may not express their concerns because they feel that speaking up would be disloyal to their managers, colleagues or to The Family Forge itself. They may also fear harassment or victimisation. In these circumstances, it may be easier to ignore the concern rather than report what may just be a suspicion of malpractice.

The Family Forge is committed to the highest possible standards of openness, moral principles, honesty, decency and accountability. In line with that commitment **we encourage all Family Forge personnel and others with serious concerns about any aspect of The Family Forge's work to come forward and voice those concerns without fear of reprisals.**

This policy document makes it clear that you can do so without the fear of victimisation, subsequent discrimination or disadvantage.

This Whistleblowing Policy is intended to encourage and enable Family Forge personnel to raise serious concerns within The Family Forge rather than overlooking a problem or "blowing the whistle" outside.

The policy applies to all Trustees, volunteers, managers, employees and those contractors working for The Family Forge on premises where The Family Forge operates. **These procedures are in addition to any complaints procedures and other statutory reporting procedures.**

## AIMS AND SCOPE OF THIS POLICY

This Whistleblowing Policy aims to:

- ☐ Encourage you to feel confident in raising serious concerns and to question and act upon concerns about practice
- ☐ Provide avenues for you to raise concerns and receive feedback on any action taken
- ☐ Allow you to take the matter further if you are dissatisfied with The Family Forge's response; and reassure you that you will be protected from reprisals or victimisation for whistleblowing in good faith.

There are existing procedures in place to enable you to lodge a grievance relating to your own employment. This Whistleblowing Policy is intended to cover concerns that fall outside the scope of other procedures. That concern may be about something that:

- ☐ Is unlawful; or
- ☐ Makes you feel uncomfortable in terms of known standards, your experience or the standards to which you believe The Family Forge subscribes; or
- ☐ is against The Family Forge policies; or
- ☐ Falls below established standards or practice; or
- ☐ Amounts to improper conduct.

## SAFEGUARDS

### Harassment or Victimisation

The Family Forge is committed to good practice and high standards and wants to be supportive of all personnel.

The Family Forge recognises that the decision to report a concern can be a difficult one to make, not least because of the fear of reprisal from those responsible for the malpractice.

The Family Forge will not tolerate harassment or victimisation and will do what it lawfully can

to protect you when you raise a concern in good faith. This does not mean that if you are already the subject of disciplinary or redundancy procedures, that those procedures will be halted as a result of your whistleblowing.

### **Confidentiality**

The Family Forge will do its best to protect your identity when you raise a concern and do not want your name to be disclosed. It must be appreciated that the investigation process may reveal the source of the information and a statement by you may be required as part of the evidence, particularly if the Police or other relevant agencies become involved. In order to take effective action, The Family Forge will need proper evidence which may be required to stand up to examination in Courts or Tribunals.

### **Anonymous Allegations**

This policy encourages you to put your name to your allegation. Concerns expressed anonymously are much less powerful, but they will be considered at the discretion of The Family Forge.

In exercising the discretion, the factors to be taken into account would include:

- ☐ the seriousness of the issues raised
- ☐ the credibility of the concern; and
- ☐ the likelihood of confirming the allegation from attributable sources.

### **Untrue Allegations**

If you make an allegation in good faith, but it is not confirmed by the investigation, no action will be taken against you. If, however, you make malicious or vexatious allegations, that is for no other purpose than to cause trouble or annoyance or without good reason to discredit The Family Forge, or any Family Forge Personnel, an investigation will take place to determine whether disciplinary action should be taken.

### **HOW TO RAISE A CONCERN**

As a first step, you should normally raise concerns with your immediate manager ie the activity leader or the Centre Manager. This depends, however, on the seriousness and sensitivity of the issues involved and who is thought to be involved in the malpractice. For example, if you believe that management is involved, you should approach one of the Family Forge Trustees: Jocelyn Owens, Michael Turner or Enisa Huso.

Concerns are better raised in writing. You are invited to set out the background and history of the concern, giving names, dates and places where possible, and the reasons why you are particularly concerned about the situation. If you do not feel able to put your concern in writing, you can telephone or meet the appropriate Trustee.

The earlier you express the concern, the easier it is to take action.

Although you are not expected to prove the truth of an allegation, you will need to demonstrate to the person contacted that there are sufficient grounds for your concern.

Advice and guidance on how matters of concern may be pursued can be obtained from:

**Michael Turner Trustee on 07776 707275 or 01254 826703**

You may invite a companion, who may be from your trade union or professional association, or a friend or a legal representative to raise a matter on your behalf. You may also have such a companion to represent you at any meeting which is held relating to your concern.

### **HOW THE FAMILY FORGE WILL RESPOND**

The Family Forge will respond to your concerns. Do not forget that testing out your concerns is not the same as either accepting or rejecting them.

The action taken by The Family Forge will depend on the nature of the concern as determined by the Trustees). The Family Forge could decide that the matters raised may:

- ☐ Be investigated internally
- ☐ Be referred to the Police
- ☐ Be referred to an external Agency

In order to protect individuals and The Family Forge, initial enquiries will be made to decide whether an investigation is appropriate and, if so, what form it should take. **The overriding principle, which The Family Forge will have in mind, is the public interest.** Concerns or allegations, which fall within the scope of specific procedures (for example, child protection or discrimination issues), will normally be referred for consideration under those procedures. Some concerns may be resolved by agreed action without the need for investigation. If urgent action is required this will be taken before any investigation is conducted. Within ten working days of a concern being received, your immediate manager, or the Trustees, depending upon whom you initially approached, will write to you:

- ☐ Acknowledging that the concern has been received
- ☐ Indicating how it proposes to deal with the matter if possible at such an early stage
- ☐ Giving an estimate of how long it will take to provide a final response if possible at such an early stage
- ☐ Telling you whether any initial enquiries have been made, and
- ☐ Telling you whether further investigations will take place, and if not, why not.

The amount of contact between the officers considering the issues and you will depend on the nature of the matters raised, the potential difficulties involved and the clarity of the information provided. If necessary, further information will be sought from you.

When any meeting is arranged, off-site if you so wish, you have the right to be accompanied by your chosen companion but your representative cannot be involved in the area of work to which the concerns relate.

The Family Forge will do what it lawfully can to minimise any difficulties that you may experience as a result of raising a concern. For instance, if you are required to give evidence in criminal or disciplinary proceedings, The Family Forge will make arrangements for you to receive advice about the procedure. The Family Forge cannot provide legal representation for you.

The Family Forge accepts that you need to be assured that the matter has been properly addressed. Thus, subject to legal constraints, you will receive information about the outcomes of any investigations.

#### **HOW THE MATTER CAN BE TAKEN FURTHER**

This policy is intended to provide you with an avenue to raise concerns within The Family Forge. The Family Forge hopes you will be satisfied. If you are not, and if you feel it is right to take the matter outside The Family Forge, the following are possible **contact points**:

- ☐ The Police Tele.
- ☐ The independent charity Public Concern at Work 020 7404 6609

They, or their lawyers can give you free confidential advice at any stage about how to raise a concern about serious malpractice at work.

It is stressed that this list is not exhaustive and you are free to contact any organisation which you feel will be able to deal properly with your concerns. This may include:

- ☐ Your local Councillor
- ☐ Your solicitor
- ☐ Other relevant professional/regulatory bodies.

If you do take the matter outside The Family Forge, you should ensure that you do not disclose confidential information. Check with your **contact point** (see above) about that.

It will be safe to raise a concern with any of the above provided that: -

- ☐ You make the disclosure in good faith
- ☐ You reasonably believe that the information disclosed, and any allegation contained in it, are substantially true
- ☐ You do not make the disclosure for the purposes of personal gain.

If you make allegations, which you have no grounds to believe are true, or maliciously or for personal gain then you could face defamation proceedings or a prosecution for wasting Police time. If you decide to address your concerns by going to the Press or the media then you may face defamation proceedings if your allegations are unfounded. 6

**THE RESPONSIBLE OFFICER**

Chris Griffiths Trustee has overall responsibility for the maintenance and operation of this policy. He maintains a record of concerns raised and the outcomes and will report as necessary to The Family Forge. If you request it, he will do what he lawfully can to protect your identity but you should realise that he may be obliged to disclose it to the Police, or the Courts.

**THE LAW**

This policy has been written to take account of the Public Interest Disclosure Act 1998, which protects workers making disclosures about certain matters of concern, where those disclosures are made in accordance with the Act's provisions. The Act is incorporated into the Employment Rights Act 1996, which already protects employees who take action over, or raise concerns about, health and safety at work.